

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Chris A. HOPEN, ET AL.

U.S. Pat. App. No.: 10/733,808

Filed: December 10, 2003

For: NETWORK APPLIANCE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, Applicants bring the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,138,153 to Collins III, et al., issued October 24, 2000;
- (2) U.S. Patent No. 6,052,780 to Glover, issued April 18, 2000;
- (3) U.S. Patent No. 6,701,437 to Hoke, issued March 2, 2004;
- (4) U.S. Patent No. 6,850,943 to Teixeira, et al., issued February 1, 2005;
- (5) U.S. Patent No. 6,873,988 to Herrmann, et al., issued March 29, 2005;
- (6) U.S. Patent No. 6,920,502 to Araujo, et al., issued July 19, 2005;
- (7) U.S. Patent App. No. 2003/0196091 to Raley, et al., published October 16, 2003;
- (8) U.S. Patent App. No. 2003/0191944 to Rothrock, published October 9, 2003;
- (9) U.S. Patent App. No. 2003/0229613 to Zargham, published December 11, 2003;

- (10) European Patent Office Publication No. EP 1308822 to Asgent, Inc., issued May 7, 2003;
- (11) European Patent Office Publication No. EP 0804012 to Nokia Mobile Phones, Ltd., issued October 29, 1997;
- (12) International Publication No. WO 99/57866 to Auric Web Systems, published November 11, 1999;
- (13) International Publication No. WO 02/099571 to Fujitsu Network Communications, published December 12, 2002;
- (14) Communication Relating to the International Search Report and The Written Opinion of the International Searching Authority in Corresponding PCT Appln. No. PCT/US2004/041487;
- (15) SHERLY CANTER, "Kill Internet Ads with HOSTS and PAC Files," "Online!" March 20, 2004, Retrieved from website:
<http://web.archive.org/web/20040426140542/http://windowsdevcenter.com/pub/a/windows/2004/0/>;
- (16) ULRICH KRITZNER: "Objektreferenz-Das navigator-Objekt" JAVASCRIPT-TUTORIAL, "Online!" 31 March 2002 (2002-03-31), XP 002331683 Retrieved from website: <http://web.archive.org/web/20020331004028/http://js-tut.aardon.de/js-tut/anhangA/navigator.html>

A PTO-1449 (Modified) form is included herewith listing these documents. In accordance with the Notice published in the Official Gazette of August 5, 2003, Applicants have not provided copies of the U.S. patents and published U.S. patent applications listed in the attached PTO-1449. A copy of each of the listed foreign patent documents and non-patent literature, however, is attached hereto.

Several of the listed documents were cited in an International Search Report for a corresponding PCT International patent application or for a PCT International patent application in a related U.S. patent application, copies of which are attached. As each of these International

Search Reports are in English, Applicants respectfully urge that no further comment is necessary in accordance with 37 C.F.R. § 1.98(a)(3). Applicants respectfully point out, however, that the International Search Reports classify five (5) documents in category X, seven (7) documents in category A, and two (2) documents in category Y.

A first Official Office Action has not yet issued for this application. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents cited in this Information Disclosure Statement. If, however, the Commissioner deems that any additional fees are necessary for the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge such additional fees to Deposit Account No. 19-0733 to maintain the pendency of this application.

While Applicants are presenting the documents listed in the attached PTO-1449 form, Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should one or more of these documents be applied as a reference against any claim in this application.

Applicants respectfully request that the documents listed on the attached PTO-1449 form be considered by the Examiner and made officially of record, and that a listing of the same appear on the face of any patent which may issue from this application.

Respectfully submitted,

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